



00300 #5 0420/12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

IN THE APPLICATION OF:  
Carpenter et al.

CASE NO.: PH-7103

SERIAL NO.: 09/931,317

GROUP ART UNIT: Unknown

FILED: August 16, 2001

EXAMINER: Unknown

FOR: AS MICROSPHERE LIPOSOME COMPOSITES FOR ULTRASOUND IMAGING  
AND ULTRASOUND STIMULATED DRUG RELEASE

Wilmington, Delaware

DATE: November 19, 2001

INFORMATION DISCLOSURE STATEMENT

Box DD  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b). Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

(XX) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

( ) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

( ) Certification in Accordance with §1.97(e) is set forth below.

(XX) The fee of \$240.00 as set forth in §1.17(p) is attached.

( ) In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(i)(1).

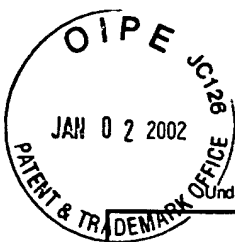
Please charge any deficiency or credit any overpayment to Deposit Account No. 04-1928.

There are no listed references which are not in the English language or do not contain an English language abstract.

Respectfully submitted,

Peter L. Dolan  
Agent for Applicants  
Registration No. 46,307  
Telephone 302-992-4528

The PTO did not receive the following  
listed item(s) \$240.00 is not  
been Attached



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Hon. Assistant Commissioner for Assistant Commissioner for Patents  
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on November 19, 2001  
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Signature

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Form 1449A/PTO with 28 references for 35 U.S.C. 1.21 for USSN  
09/931,317  
Information Disclosure Statement for USSN 09/931,317